

11/21/01  
J1130 U.S. PTO

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

REQUEST FOR FILING CONTINUATION/DIVISIONAL  
APPLICATION UNDER 37 C.F.R. § 1.53(b)

J1000 U.S. PTO  
09/989055  
11/21/01

Box PATENT APPLICATION  
Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

This is a request for filing a [X] continuation [ ] divisional application under 37 C.F.R. § 1.53(b) of pending Application No. 09/440,263 filed on November 15, 1999, for Trocar for Use in Deploying an Anastomosis Device and Method of Performing Anastomosis, by the following named inventor(s):

- (a) Full Name Jaime Vargas
- (b) Full Name Brendan M. Donohoe
- (c) Full Name Scott C. Anderson
- (d) Full Name Theodore Bender
- (e) Full Name Stephen A. Yencho
- (f) Full Name Bernard Hausen
- (g) Full Name Michael Hendricksen

*For Amended*

[ ] Applicant(s) hereby requests that the above-captioned application **NOT BE PUBLISHED** under 35 U.S.C. § 122(b) and 37 C.F.R. § 1.211. The undersigned hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication at eighteen months after filing.

[X] The entire disclosure of the prior application from which a copy of the oath or declaration is supplied herewith is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.

[ ] This application is being filed by less than all the inventors named in the prior application. In accordance with 37 C.F.R. 1.63(d)(2), the Commissioner is requested to delete the name(s) of the following person or persons who are not inventors of the invention being claimed in this application.

(a) Full Name \_\_\_\_\_

[ ] This application is being filed by more than all the inventors named in the prior application. In accordance with 37 C.F.R. 1.63(d)(5), a new oath or declaration is enclosed, and the Commissioner is requested to add the name(s) of the following person or persons who are inventors of the invention being claimed in this application.

(a) Full Name \_\_\_\_\_



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09989055-112101

Request for Filing Continuation/Divisional Application  
of Application No. 09/440,263  
Attorney's Docket No. 032405-065

- [X] Applicant(s) suggests Figure 38C for inclusion on the front page of the patent application publication and/or patent.
1. [X] Enclosed is a copy of the prior Application No. 09/440,263 as originally filed on November 15, 1999, including copies of the specification, claims, drawings and the executed oath or declaration as filed.
2. [ ] Enclosed is a revised prior application and a copy of the prior executed oath or declaration as filed. No new matter has been added to the revised application.
3. [X] Small entity status is hereby claimed.
4. [X] The filing fee is calculated below [X] and in accordance with the enclosed preliminary amendment:

C L A I M S					
	NO. OF CLAIMS		EXTRA CLAIMS	RATE	FEE
Basic Application Fee					\$740.00 (101)
Total Claims	27	MINUS 20 =	7	× \$18.00 (103) =	126
Independent Claims	5	MINUS 3 =	2	× \$84.00 (102) =	168
If multiple dependent claims are presented, add \$280.00 (104)					
Total Application Fee					1034
If small entity status is claimed, subtract 50% of Total Application Fee					517
Add Assignment Recording Fee of \$_ if Assignment document is enclosed					
<b>TOTAL APPLICATION FEE DUE</b>					<b>517.00</b>

5. [ ] Charge \$ \_\_\_\_\_ to Deposit Account No. 02-4800 for the fee due.
6. [X] A check in the amount of \$ 517.00 is enclosed for the fee due.
7. [X] The Commissioner is hereby authorized to charge any appropriate fees under 37 C.F.R. §§ 1.16, 1.17 and 1.21 that may be required by this paper, and to credit any overpayment, to Deposit Account No. 02-4800. This paper is submitted in duplicate.
8. ☒ Cancel in this application original claims 1-33 of the prior application before calculating the filing fee. (At least one original independent claim must be retained for filing purposes.)
9. [X] New drawings are enclosed.
10. [ ] Priority of Application No. \_ filed on \_ in \_ (country) is claimed under 35 U.S.C. § 119.



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Request for Filing Continuation/Divisional Application  
of Application No. 09/440,263  
Attorney's Docket No. 032405-065

- ☐ The certified copy of the priority application  
☐ is enclosed.  
☐ was filed on      in prior Application No.     , filed on     , and acknowledged by  
the Examiner on      in Paper No.     .  
☐ has not yet been filed.

11. ☒ Please amend the specification by inserting before the first line the sentence:

Q ☒ --This application is a ☒ continuation ☐ divisional of Application No.       
09/440,263, filed on November 15, 1999 which is a continuation-in-part of  
Application No. 09/314,278, filed on May 18, 1999 .--.

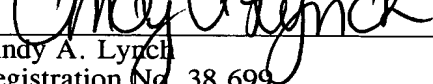
☐ --This application is a ☐ continuation ☐ divisional of U.S. Application No.     ,  
filed on     , which was a national stage filing under 35 U.S.C. § 371 of  
International Application No.      filed on     , which International Application  
☐ was ☐ was not published by the International Bureau in English on      .--.

☐ --This application is a ☐ continuation ☐ divisional of U.S. Application No.     ,  
filed on     , which was a continuation of International Application No.     , filed on       
, which International Application ☐ was ☐ was not published by the  
International Bureau in English on      .--.

12. ☒ A preliminary amendment is enclosed.
13. ☒ An Information Disclosure Statement is enclosed.
14. ☐ A General Authorization for Payment of Fees and Petitions for Extensions of Time is  
enclosed.
15. ☒ The power of attorney in the prior application is to James W. Peterson, Reg. No.  
26,057 and Peter K. Skiff, Reg. No. 31,917.
- a. ☒ The power appears in the original papers in the prior application.
  - b. ☐ Since the power does not appear in the original papers, a copy of the power  
in the prior application is enclosed.
  - c. ☐ Recognize as Associate Attorney     .
  - d. ☒ Address all future communications to: (May only be completed by  
applicant, or attorney or agent of record.)

James W. Peterson  
BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, Virginia 22313-1404

Date: November 19, 2001

By:   
Cindy A. Lynch  
Registration No. 38,699

ADDRESS OF SIGNATOR:

BURNS, DOANE, SWECKER & MATHIS, L.L.P.  
P.O. Box 1404  
Alexandria, Virginia 22313-1404  
(650) 622-2300

- ☐ inventor(s)  
☐ assignee of complete interest  
☐ attorney or agent of record  
☒ filed under 37 C.F.R. § 1.34(a)



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